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### Einschreiben

United States Bankruptcy Court Southern District of New York re: Lehman Brothers Holdings Inc. One Bowling Green New York, NY 10004-1408 USA

Zürich, 4. Februar 2015



### Partial Transfer of Claim "Lehman Brothers Treasury BV"

Dear Sir or Madam,

Please find enclosed the originally signed "Notice Pursuant to Bankruptcy Rule 3001" and "Evidence of Transfer of Claim", released by Swissquote on January 15, 2015.

We kindly ask you to process the partial transfer of claim:

ISIN:

CH0036891148

Titel:

100 % Capital Protected Certificates Lehman Brothers 2008-7.3.12 on Swiss

Market Index Reg-S -In Default-(M)

Nominal: Transferor: Nom. -20'000-

Swissquote

Transferee:

Esther Huber

Initial Proof of Claim Number:

55329

Best regards

Zürcher Kantonalbank

Natthias Hafner

### Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK



In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP</u> Court ID (Court Use Only)

# NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: Esther Huber	Name of Transferor: Swissquote Bank SA				
Notices to Transferee should be sent to: Esther Huber Scheuchzerstrasse 197 8057 Zürich, Switzerland	Court Record Address of the Transferor: (Court use only)				
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor Swissquote Bank SA Chemin de la Crétaux 33 1196 Gland Switzerland +41 44 825 88 88 Attn: Corporate Actions Service corporate.actions@swissquote.ch				
Amount of Claim Being Transferred:					
CHF 20'000.00 (face amount of securities)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS				
Court Claim No. (if known): 55329					
Date Claim Filed: October 29, 2009					

I declare under penalty of pe	rjury t	hat the	information	provided in the	his notice i	s true ar	nd correct to	the bes
of my knowledge and belief.	1 -	2 20				1	1	

Date:

Ву:

Name:

Matthias Hafner

Title:

Ву:

Name:

Title: //

Vincenzo Pisano

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571.As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

### **Evidence of Transfer**

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the

"Bankruptcy Court") One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number 55329 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURIT	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
100 % CPC Lehman Bro Treasury BV	CH0036891148	LBT BV	LBHI	CHF 20'000.00
200807.03.2012	Special			

The aggregate amount of the Transferred Claim is to Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

Swissquote Bank SA ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Esther Huber Scheuchzerstrasse 197 8057 Zürich, Switzerland

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated January 15, 2015.

Swissquote Bank SA

Transferor

By: \_\_\_\_\_

Name: Title:

Michael Tiedemann Swissquote Bank SA

Authorized Officer

Зу: \_\_\_\_

Name: Title:

Minuel Mache ead Securities Services

Vice Director

ACKNOWLEDGED BY:

**Esther Huber** 

Transferee

By: /

Name.

Vincenzo Pisano

Matthias Hafner

By:

Name:

Title:

ZKB SWISSQUOTE 55329

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